

## July 1, 2019 Report Methodology

The July 1, 2019 report was created by using publicly available information provided in annual California and federal filings by corporations, as well as, information provided by the New York Stock Exchange (NYSE), NYSE American Exchange (NYSE-AM aka AMEX), National Association of Securities Dealers Automated Quotations (NASDAQ), company websites, and other internet sources.

The information and statistics provided in the report was generated by a search of the Securities and Exchange Commission's Electronic Data Gathering, Analysis, and Retrieval system (EDGAR), for corporations listing a California address for the principal executive offices on the annual report they filed with the United States Securities and Exchange Commission (SEC) pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934, (Form 10-K). The California Secretary of State's office also searched information provided in the annual Publicly Traded Disclosure Statement filed by publicly traded corporations pursuant to California Corporations Code sections 301.3 (domestic), 1502.1 (domestic), 2115.5 (foreign), and 2117.1 (foreign). The dates searched were January 1, 2019 - June 30, 2019.

**Note that federal filing deadlines for filing the annual SEC Form 10-K (60, 75 or 90 days after the end of the fiscal year) differ from the California deadline for filing the Publicly Traded Disclosure Statement (150 days from the end of the fiscal year) so there may be gaps in available data.**

Excluded from calculations were limited partnerships, limited liability limited partnerships, limited liability companies, other types of filers that are not separately incorporated and reporting corporations that are not listed on the NYSE, NYSE-AM, or NASDAQ.

Although every attempt has been made to ensure that the information contained in the report is accurate, the research is based on manually searching for and recording information reported by others in multiple sources. The California Secretary of State's office is not responsible for any loss, consequence, or damage resulting directly or indirectly from reliance on the accuracy, reliability, or timeliness of the information that is provided. All such information is provided "as is." Images of filed documents for corporations, limited partnerships and limited liability companies are available for free through the Business Search, by searching by entity number, or by entity type and entity name. For information on ordering copies of the official business entity records for a particular corporation, please refer to Information Requests.