

Instructions for Information Request (Form UCC11)

For faster processing, UCC information requests can be conducted electronically at <https://uccconnect.sos.ca.gov>.

If submitting the request on paper, please use this fillable form. The information must be legible. Read and follow all Instructions.

Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use only. When properly completed, send the form together with required fee to the filing office.

- A. To assist filing office communication with requestor, the information in item A may be provided. This item is optional.
 - B. To assist filing office communication with requestor, an email address in item B may be provided. This item is optional.
 - C. Enter name and mailing address of requestor in item C. This item is REQUIRED.
1. Debtor Name to which this request relates: Enter only one debtor name in item 1, EITHER an organization's name (1a) or an individual's name (1b). The name entered is the name used to produce a search report. It is recommended that the search be requested against the EXACT LEGAL DEBTOR NAME. The filing office will conduct the search under the name given in either Item 1a or 1b.

****The information for 1a and 1b is offered as a guide for completing this form using the EXACT LEGAL DEBTOR NAME. There may be records on file in which the Exact Legal Debtor Name was not used. One may submit a separate UCC11 for each possible name variation.**

- 1a. Organization Debtor: "Organization" means an entity having a legal identity separate from its owner. A partnership is an entity; a sole proprietorship is not an organization, even if it does business under a trade name. If a debtor is a partnership, enter exact full legal name of the partnership. If debtor is a registered organization (e.g., corporation, limited partnership, limited liability company), it is advisable to examine Debtor's current filed charter documents to determine Debtor's correct name.

For both Organization and Individual Debtors: Do not use Debtor's trade name, DBA, AKA, FKA, DIVISION name, etc. in place of or combined with Debtor's legal name (i.e., DO NOT use "Simpson Motor Inc. DBA Cornelia Car City.") Search results in response to such a search request may not reveal financing statements on file that correctly use the legal name of the debtor.

- 1b. Individual Debtor: "Individual" means a natural person, this includes a sole proprietorship, whether or not operating under a trade name. Do not use prefixes (Mr., Mrs., and Ms.). Use suffix box only for title of lineage (Jr., Sr., III) and not for other suffixes or title (e.g., MD, CPA). Use married woman's personal name (Mary Smith, not Mrs. John Smith). Enter individual debtor's family name in the Surname box, first given name in First Personal Name box, and all additional given names or initials in Additional Name(s)/Initial(s) box.

Information Options: For 2a and 2b, mark this box to receive a search response that is complete and includes lapsed and unlapsed filings. Unless marked, the search may be incomplete.

To request a SEARCH, choose either:

2a - This option results in a complete search at ANY ADDRESS and includes copies of all the filings reflected on the search response. Searches include unlapsed and lapsed filings. Mark the certify box to receive certified copies. Please enclose a \$5.00 fee for each copy certified.

2b - This options will result in a search response with no copies.

3a - The search report will not be produced until the accompanying document and all other documents received on that day have been filed and can be certified.

3b - Limits the debtor search to a specific street address. Please indicate a complete address. This filing office does not offer searches by CITY.

3c - Limits the debtor search to a specific date range. Please indicate the starting date to be searched.

To request COPIES, choose:

2c - Complete the grid for each specific record to be copied. The UCC1 file number must be referenced. There is a limit of 10 file numbers per UCC11. Complete the grid as instructed on the form.

4. Requests will be returned by mail to the party indicated in Item C, unless otherwise requested.

Please enclose the appropriate fees. If the exact amount is not known, enclose either a blank check payable to the Secretary of State or a check with the notation "Not to exceed \$____" (usually \$35.00 is sufficient). Once the exact fees have been determined, the filing officer will complete the check for the correct amount. A detailed billing sheet will be returned with the requested information.

- Search fee\$10.00
- Copy fee\$1.00 for each face page of record + \$.50 for each attachment page
- Certified copies\$5.00 additional fee for each record that is certified

For reduced fees and faster processing, information requests can be conducted electronically at <https://uccconnect.sos.ca.gov>.

INFORMATION REQUEST

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

| | |
|---------------------------------------|--------------------------|
| A. NAME & PHONE OF CONTACT | FILING OFFICE ACCT # |
| B. E-MAIL CONTACT AT FILER (optional) | |
| C. RETURN TO: (Name and Address) | |
| <input type="checkbox"/> | <input type="checkbox"/> |
| <input type="checkbox"/> | <input type="checkbox"/> |

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR NAME to which this request relates – insert only one debtor name (1a or 1b) – do not abbreviate or combine names

| | | | |
|-------------------------|---------------------|-------------------------------|--------|
| 1a. ORGANIZATION'S NAME | | | |
| 1b. INDIVIDUAL SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX |

2. INFORMATION OPTIONS RELATING TO UCC FILINGS AND OTHER NOTICES FILED IN FILING OFFICE THAT INCLUDE AS A DEBTOR THE NAME IDENTIFIED IN ITEM 1

For 2a and 2b, mark this box to request a Search that is **COMPLETE** to include **lapsed and unlapsed** filings. UNLESS MARKED, SEARCH MAY BE INCOMPLETE.

2a. SEARCH RESPONSE with copies of ALL records found. Please CERTIFY all copies (additional \$5.00 fee per person)

2b. SEARCH RESPONSE only.

2c. COPIES ONLY. Please complete the information below, as appropriate. For UCC3 records, include the type of UCC3 and corresponding filing date.

| File Number | # of copies | # of Certified copies (add'l fee applies) | File Date (use for UCC3 only) | Filing Type (Filing Statement, Cont., Term., Assign., Amend.) |
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3. CALIFORNIA SECRETARY OF STATE'S OFFICE OFFERS THESE ADDITIONAL SEARCHING OPTIONS – (Please see instructions):

3a. SEARCH TO REFLECT – Please run the search after the filing document accompanying this request has been filed.

3b. SEARCH LIMITED TO THE FOLLOWING ADDRESS: _____

3c. SEARCH LIMITED FROM THIS DATE: _____

4. DELIVERY INSTRUCTIONS

4a. Pick up (Only if request was originally delivered to our Public Counter)

4b. Other: _____
Specify desired method; Completed **prepaid** airbill and packaging must accompany this request, if applicable.